



FFa

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANT: Isidore I. Lamke, et al.

GROUP:

SERIAL NO.: 10/787,508

EXAMINER:

FILED: February 25, 2004

DOCKET NO.: LUMA 7366D1

FOR: LED LAMP ASSEMBLY

St. Louis, Missouri  
May 21, 2004

Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**  
**PURSUANT TO § 1.97 AND § 1.98**

Sir:

The information, patents and/or literature references listed on the attached form PTO-1449 are being submitted within three months of the filing date of a national application other than a continued prosecution application under § 1.53(d); within three months of the date of entry of the national stage as set forth in § 1.491 in an international application; before the mailing of a first Office action on the merits; or before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114. **Therefore, no fee is due.**

The Commissioner is hereby authorized to charge any additional fees or credit overpayment under 37 CFR 1.16 and 1.17 which may be required by this paper to Deposit Account 162201. *Duplicate copies of this sheet are enclosed.*

Respectfully submitted,

Date: May 20, 2004

Nelson D. Nolte, Registration No. 42,938  
Polster, Lieder, Woodruff & Lucchesi, L.C.  
12412 Powerscourt Drive, Suite 200  
St. Louis, MO 63131-3615  
Telephone: (314) 238-2400  
Facsimile: (314) 238-2401

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450, on May 21, 2004.

Nelson D. Nolte, Reg. No. 42,938

5-20-04

Date of Signature



<b>FORM PTO-1449</b>		U.S. DEPARTMENT OF COMMERCE, PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO.: LUMA 7366D1	SERIAL NO.: 10/787,508
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>		APPLICANT: Isidore I. Lamke, et al.			
		(Use several sheets if necessary)		Filing Date: 2/25/04	Group:

### U.S. PATENT DOCUMENTS

Examiner Initial	Document Number							Kind Code (click here)	Patent Issue Date OR US App. Pub. Date OR US Non-Published Application Filing Date	Name
	US- 3 6 0 9 4 7 5								9/28/1971	Kaposhilin
	US- 4 1 1 2 4 8 5								9/5/1978	Sutter
	US- 4 3 8 0 7 9 3								4/19/1983	Potts
	US- 4 7 3 3 3 3 5								3/22/1988	Serizawa et al.
	US- 4 7 7 4 4 3 4								9/27/1988	Bennion
	US- 4 7 7 9 1 6 6								10/18/1988	Tanaka et al.
	US- 4 9 2 9 8 6 6								5/29/1990	Murata et al.
	US- 4 9 5 4 9 3 1								9/4/1990	Hassler, Jr.
	US- 5 0 0 8 7 8 2								4/16/1991	Murray
	US- 5 1 9 3 8 9 5								3/16/1993	Naruke et al.
	US- 5 2 4 1 4 5 7								8/31/1993	Sasjima et al.
	US- 5 3 9 0 0 9 2								2/14/1995	Lin
	US- 5 4 6 3 2 8 0								10/31/1995	Johnson
	US- 5 5 2 8 4 7 4								6/18/1996	Roney et al.
	US- 5 6 3 2 5 5 1								5/27/1997	Roney et al.
	US- 5 3 2 5 2 7 1								6/28/94	Hutchisson

### FOREIGN PATENT DOCUMENTS

Examiner Initial	Translation				
	Document Number	Date	Country	Yes	No

### OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)


EXAMINER	DATE CONSIDERED
----------	-----------------

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

(Form PTO-1449)